

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrew Harker
Serial No.: herewith
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For: AN OPTOELECTRONIC ASSEMBLY
Art Unit: Not yet assigned
Examiner: Not yet assigned
Attorney Docket No.: 30020778 US02
Customer No.: 27623

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, being filed concurrently herewith. This Information Disclosure Statement is being filed:

XXX Within three (3) months of the filing date of the national application;

_____ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;

_____ Before the mailing date of a first Office Action on the merits;

_____ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

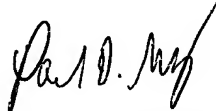
_____ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

- _____ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and
- _____ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(l)(1).

Also enclosed are copies of U.S. Patent No. 5,774,486; Japanese Patents and Publication Nos. 2000255853; 2001326419; 2001053379; 63318789; 59140651; 09141476; European Patent Applications Nos. EP 0 296 427EP 1 164 367 and European Search Report Application No. EP 02 25 6675.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



August 22, 2003

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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number (Optional)	Application Number
	30020778 US02	Not yet assigned
	Applicant	
	Harker	
	Filing Date	Group Art Unit
	herewith	Not yet assigned

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,774,486	6/30/98	Jiang et al.	372	31	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	JP 2000266853	29.09.00	Japan (English abstract)				X
	JP 2001326419	22.11.01	Japan (English abstract)				X
	JP 2001053379	23.02.01	Japan (English abstract)				X
	JP 63318789	27.12.88	Japan (English abtsract)				X
	JP 09141476	03.06.97	Japan (English abstract)				X
	EP 0 296 427	10.06.88	Europe				X
	EP 1 164 367	19.12.01	Europe				X

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Gnugesser, H., European Search Report Application No. EP 02 25 6675 dated 24 February 2003.
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.